

UNITED STATES PATENT & TRADEMARK OFFICE  
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND											
1 Date of Request: <u>5/13/05</u>		2 Serial/Patent # <u>10/709,329</u>									
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT							
<input type="checkbox"/>	Filing			\$							
<input type="checkbox"/>	Amendment			\$							
<input type="checkbox"/>	Extension of Time			\$							
<input type="checkbox"/>	Notice of Appeal/Appeal			\$							
<input checked="" type="checkbox"/>	Petition		<u>12/23/04</u>	<u>\$130.00</u>							
<input type="checkbox"/>	Issue			\$							
<input type="checkbox"/>	Cert of Correction/Terminal Disc.			\$							
<input type="checkbox"/>	Maintenance			\$							
<input type="checkbox"/>	Assignment			\$							
<input type="checkbox"/>	Other			\$							
		7 TOTAL AMOUNT OF REFUND		<u>\$130.00</u>							
		8 TO BE REFUNDED BY:									
10 REASON:		<input type="checkbox"/>	Treasury Check								
<input type="checkbox"/>	Overpayment	<input checked="" type="checkbox"/>	Credit Deposit A/C #:								
<input type="checkbox"/>	Duplicate Payment		9 <table border="1"><tr><td>0</td><td>4</td><td>--</td><td>0</td><td>1</td><td>0</td><td>0</td></tr></table>		0	4	--	0	1	0	0
0	4	--	0	1	0	0					
<input checked="" type="checkbox"/>	No Fee Due (Explanation):										
<u>Filing date petition granted</u>											
11 REFUND REQUESTED BY:											
TYPED/PRINTED NAME: <u>Cliff Congo</u>		TITLE: <u>Attorney</u>									
SIGNATURE: <u>Cliff Congo</u>		PHONE: <u>571-272-3207</u>									
OFFICE: <u>Petitions</u>											
***** THIS SPACE RESERVED FOR FINANCE USE ONLY: *****											
APPROVED: <u>[Signature]</u>		DATE: <u>5/13/05</u>									

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

Office of Finance  
Refund Branch  
Crystal Park One, Room 802B

DAC

**BEST AVAILABLE COPY**



Docket No.: 20107/1200838-US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Earl Rotman et al.

Application No.: 10/709,329

Confirmation No.: 3328

Filed: April 28, 2004

Art Unit: 3628

For: SYSTEM AND METHOD FOR CREATING  
TRADEABLE FINANCIAL UNITS

Examiner: N. B. Nguyen

2/28/2004 SFELEKE1 00000010 10709329

1-FC:1999

130.00 OP

**PETITION FOR FILING DATE**

MS Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The applicant in the above-identified patent application hereby petitions the United States Patent and Trademark Office to grant a filing date of April 28, 2004 to the above-identified patent application. A Notice to File Missing Parts Dated December 13, 2004 indicates that the application had been granted a filing date of July 15, 2004, the date upon which the USPTO received replacement drawings for this patent application. There are two grounds supporting this petition:

A. Drawings were in fact present in the application as filed;

B. To the extent that the method is concerned, drawings are not required for a complete description of the invention, and to the extent that apparatus claims are concerned, drawings were incorporated by reference in the provisional patent application of which the priority is claimed.

~~12/27/2004 SFELEKE1 00000023-10709329~~

~~01-FC:1622~~

~~130.00 OP~~

{W:\20107\1200838us1\00325963.DOC \*201071200838US1\* }

~~adjustment dates 12/28/2004 SFELEKE1~~  
~~2/27/2004 SFELEKE1 00000023-10709329~~

~~01-FC:1622~~

~~130.00 OP~~

Adjustment dates: 05/13/2005 AXELLEY  
2/28/2004 SFELEKE1 00000010 10709329  
01-FC:1999 -120.00 OP  
Revised RLA: 05/13/2005 AXELLEY 0011552000  
2/28/2004 SFELEKE1 00000010 10709329  
01-FC:1999